

80/564234

MAT-8774US

IAP20 Rec'd PCT/PTO 11 JAN 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: To be assigned  
Applicant: Shinichi Tsukahara  
Filed: Herewith  
Title: BIOMETRIC IDENTIFICATION DEVICE, AUTHENTICATION DEVICE  
USING SAME, AND BIOMETRIC IDENTIFICATION METHOD  
TC/A.U.:  
Examiner:  
Confirmation No.:  
Docket No.: MAT-8774US

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of the references listed is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 2000-033080
- 2) JP 2001-224574
- 3) JP 10-162146
- 4) JP 2002-312772

References 1) to 4) include English language abstracts.

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MAT-8774US

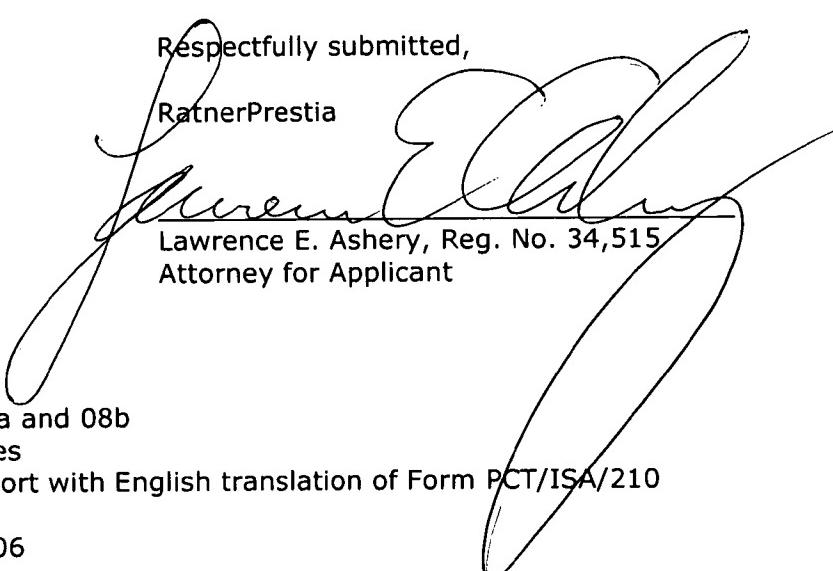
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References 1) to 4) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. Also enclosed is an English translation of Form PCT/ISA/210 to explain the special category definitions of the Japanese language search report. MPEP 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

RatnerPrestia

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicant

LEA/bj

Enclosures: PTO/SB/08a and 08b  
6 References  
Search Report with English translation of Form PCT/ISA/210

Dated: January 11, 2006

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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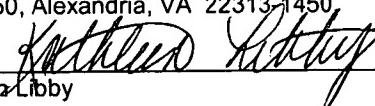
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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kathleen Libby

|  |  |                          |                    |
|--|--|--------------------------|--------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |  | <i>Complete if Known</i> |                    |
|  |  | Application Number       | To be assigned     |
|  |  | Filing Date              | Herewith           |
|  |  | First Named Inventor     | Shinichi Tsukahara |
|  |  | Art Unit                 |                    |
|  |  | Examiner Name            |                    |
| SHEET 1 of 2   |  | Attorney Docket No.      | MAT-8774US         |

| U.S. PATENT DOCUMENTS |                       |  |                                  |  |   |
|-----------------------|-----------------------|--|----------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                            | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                       | Number - Kind Code <sup>2</sup> (If known) |                                  |  |   |
|                       |                       | US-  |                                  |  |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                                  |  |  |
|--------------------------|-----------------------|---|----------------------------------|--|--|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document         | Pages,<br>Columns, Lines,<br>Where Relevant<br>Passages or<br>Relevant<br>Figures Appear |
|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known) |                                  |  |  |
| /SCC/                    |                       | JP 2000-033080 (w/English Abstract)   | 02-02-2000                       | OKI ELECTRIC IND.<br>CO., LTD.                             | <input type="checkbox"/>   |
|                          |                       | JP 2001-224574 (w/English Abstract)   | 08-21-2001                       | OKI ELECTRIC IND.<br>CO., LTD.                             | <input type="checkbox"/>   |
|                          |                       | JP 10-162146 (w/English Abstract)   | 06-19-1998                       | OKI ELECTRIC IND.<br>CO., LTD.                             | <input type="checkbox"/>   |
|                          |                       | JP 2002-312772 (w/English Abstract)   | 10-25-2002                       | OKI ELECTRIC IND.<br>CO., LTD.                             | <input type="checkbox"/>   |
|                          |                       | WO 94/09446 (Corresponding to<br>Japanese Patent No. 3307936)                       | 04-28-1994                       | DAUGMAN  | <input type="checkbox"/>   |
| ↓                        |                       | WO 01/01329   | 01-04-2001                       | BRITISH<br>TELECOMMUNICATIONS<br>PUBLIC LIMITED<br>COMPANY | <input type="checkbox"/>   |

|                    |                 |                 |            |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /Sheela Chawan/ | Date Considered | 12/21/2008 |
|--------------------|-----------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

| Complete if Known    |                                     |
|----------------------|-------------------------------------|
| Application Number   | To be assigned<br><b>10/1564234</b> |
| Filing Date          | Herewith                            |
| First Named Inventor | Shinichi Tsukahara                  |
| Art Unit             |                                     |
| Examiner Name        |                                     |
| Attorney Docket No.  | MAT-8774US                          |

## **NON-PATENT LITERATURE DOCUMENTS**

|                               |                 |                            |            |
|-------------------------------|-----------------|----------------------------|------------|
| <b>Examiner<br/>Signature</b> | /Sheela Chawan/ | <b>Date<br/>Considered</b> | 12/21/2008 |
|-------------------------------|-----------------|----------------------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**1**Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
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